

***Penalty for presenting fraudulent claim is a fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 AND 3571***

## Proof of Interest Supplemental Information:

Case 24-50792, Meta Materials, Inc.

Charles Schwab

Account Number: 1764-9277

08/16/21	Purchased 10,000	3.18 Share	\$31,800 Invested
08/05/22	Purchased 11,500	.9157 Share	\$10,530.55 Invested
03/01/23	Purchased 22,500	.613 Share	\$13794.16 Invested
03/23/23	Purchased 13,000	.4818 Share	\$6264.66 Invested
04/14/23	Purchased 115,000	.2271 Share	\$26119.37 Invested
06/06/23	Purchased 27,500	.2381 Share	\$6547.75 Invested
06/20/23	Purchased 2,400	.2028 Share	\$486.72 Invested
07/13/23	Purchased 15,450	.1918 Share	\$2963.31 Invested
08/30/23	Purchased 22,380	.2304 Share	\$5156.35 Invested
10/02/23	Purchased 16,000	.218 Share	\$3488.00 Invested
10/16/23	Purchased 11,100	.2187 Share	\$2427.00 Invested
08/26/21	Sold 10,000	3.65 Share	(\$36405.77)

Total Shares pre-split=256830. Total shares post-100:1 split=2569

Invested \$73372.10



Schwab Personal Choice Retirement Account® (PCRA) of  
**CHARLES SCHWAB TRUST BANK TTEE**  
**HUMANA RET AND SAVINGS PL**  
**FBO CHADWICK JONES**

Account Number  
**1764-9277**

Statement Period  
**November 1-30, 2024**

**Your Retirement Plan Provider**

**CHARLES SCHWAB TRUST BANK**  
**HUMANA RETIREMENT & SAVINGS PL**  
**ATTN PCRA ADMINISTRATOR**  
**215 FREMONT ST FL 6**  
**SAN FRANCISCO CA 94105-2423**

*The custodian of your brokerage account is: Charles Schwab & Co., Inc.*  
For questions about this statement, please contact the Schwab dedicated PCRA Call Center at 1-888-393-PCRA (7272).

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**CHARLES SCHWAB TRUST BANK TTEE**  
**HUMANA RET AND SAVINGS PL**  
**FBO CHADWICK JONES**  
**2668 BEACH BLVD UNIT 302A**  
**BILOXI MS 39531-4558**



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 FBO CHADWICK JONES

Account Number  
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Statement Period  
**November 1-30, 2024**

#### Investment Detail - Bank Sweep

Bank Sweep	Starting Balance	Ending Balance	% of Account Assets
CHARLES SCHWAB BANK	8,443.64	11,003.25	23%
<b>Total Bank Sweep AB</b>	<b>8,443.64</b>	<b>11,003.25</b>	<b>23%</b>
<b>Total Bank Sweep</b>		<b>11,003.25</b>	<b>23%</b>

#### Investment Detail - Equities

	Quantity	Market Price	Market Value Cost Basis	% of Account Assets	Unrealized Gain or (Loss)	Estimated Yield	Estimated Annual Income
<b>Equities</b>							
META MATLS INC	2,569.0000	0.06100	156.71	<1%	(77,621.73)	N/A	N/A
CLASS EQUITY			77,778.44				
SYMBOL: MMATQ							

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



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**Trade Confirmation**

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CHARLES SCHWAB TRUST BANK TTEE  
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2668 BEACH BLVD UNIT 903A  
BILOXI MS 39531-4552

08/16-00000-DC62A096 \*



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**FBO CHADWICK JONES**

Account Number  
**1764-9277**

## Trade Confirmation

If you have questions regarding this report, please contact your dedicated Schwab Personal Choice Retirement Account® (PCRA) Service Team at 1-888-393-7272.

### Terms and Conditions

*Retain for your records*

It is agreed between Charles Schwab & Co., Inc. ("Schwab") and the customer:

(1) This trade confirmation is furnished solely by Charles Schwab & Co., Inc. ("Schwab"), a registered broker-dealer, for transactions in your brokerage account at Schwab ("Account"). Schwab Advisor Services serves independent investment advisors and includes the custody, trading and support services of Schwab. Schwab is a registered broker-dealer and is not affiliated with your Investment Advisor whose name appears on this statement ("Advisors") except in the case of Charles Schwab Investment Advisory, Inc. ("CSIA"), Schwab Private Client Investment Advisory, Inc. ("SPCIA"), or an affiliated company that may act as the investment advisor on a fund. Schwab neither endorses nor recommends any particular Advisor or its investment strategy and has no responsibility to monitor trading by Advisor in your Account. Schwab has not verified any statement accompanying any Advisor's logo appearing on this confirmation.

(2) If you find any errors or omissions on this trade confirmation, please call Schwab immediately using any of the appropriate phone numbers listed above. To protect your rights, you must also notify us in writing. You should notify us immediately upon discovery of the error, but in any event no later than 10 days after you receive the confirmation. This confirmation will be deemed conclusive after 10 days. Schwab will not be liable for any losses that occur because of your failure to promptly notify us of an error.

(3) All transactions are subject to the rules, regulations, requirements and customs of the Federal Reserve Board, the exchange or market (and its clearing agency, if any) where executed, any association whose rules and regulations govern transactions in said market, and your account agreement(s) with Schwab. We will furnish, upon written request, the date and time when this transaction took place and the name of the other party to the transaction. Federal regulations require that all brokerage firms report sales transactions to the IRS unless the order was placed through an IRA, corporate or trustee account.

(4) Securities purchased by you or held by us may be hypothecated and/or commingled with securities carried for other customers.

(5) Payment for all purchases or delivery of securities sold is due promptly and in any event no later than the settlement date. We reserve the right to liquidate securities or otherwise cover a position without notice at any time, including prior to settlement date, when in our judgment the value of the collateral is in jeopardy or the account poses a risk to the firm. You will be held responsible for any resulting loss.

(6) Mutual Fund Transaction Fees shown on this confirmation, if applicable, are charged by Schwab. You can choose to buy the shares directly from the fund itself or its principal underwriter or distributor without paying Schwab's transaction fee. Please consult the prospectus for information about any other fees which a fund might charge.

(7) If the symbol (\*) appears on the item description on your confirmation, the offering of the described security has been made solely by means of the prospectus relating thereto, a copy of which has been or will be delivered to you.

(8) Call features, in addition to any identified on the face hereof, may exist for certain common stocks, preferred stocks and bonds that could affect yield. For additional information or questions, contact Schwab using any of the appropriate numbers listed above. Securities held for you at Schwab or at a central clearing facility, but not registered in your name, are commingled with identical securities held for other customers. In the event securities so held are called by the issuer, the securities to be called will be determined by an impartial and random selection system. Should your security be so selected,

it will be presented for redemption and your account credited with the proceeds. Clients have the right to withdraw uncalled, fully paid securities at any time prior to a partial call, and also to withdraw excess margin securities not subject to restrictions.

(9) Any credit ratings shown on this confirmation are as of the time the confirmation was generated and may differ from any credit ratings provided at the time this transaction took place. The credit ratings for this security could have changed between the time of your transaction and the time this confirmation was generated. For additional information or questions, contact Schwab using any of the appropriate numbers listed above.

(10) Schwab received remuneration from the broker-dealer or market center through which the transaction was executed. The nature and source of such remuneration may vary and will be disclosed to you upon written request.

(11) If the security described on the front of this confirmation was issued by The Charles Schwab Corporation ("CSC") or an entity controlled by CSC, we are required to disclose that (a) Schwab is controlled by CSC or (b) Schwab and the issuer are under the common control of CSC.

(12) Additional Load Fund Breakpoint Information: You may be eligible for breakpoint discounts based on the size of your purchase, current holdings or future purchases. The sales charge you paid may differ slightly from the prospectus disclosed rate due to rounding calculations. Please refer to the prospectus or Statement of Additional Information. If you need additional assistance, please contact your Schwab investment professional or financial advisor.

(13) Transaction Fee - includes fees charged for purchases and sales of certain mutual fund shares, and/or fees charged for certain fixed income securities transactions.

(14) To obtain electronic copies of notices, offering documents, and documents incorporated by reference pertaining to Government Sponsored Enterprises ("GSE") and Federal Agency securities, visit the following web sites: Federal Farm Credit Bank (FFCB): <http://www.farmcredit-fcb.com>; Federal Home Loan Bank (FHLB): <http://www.fhlb-of.com>; Federal Home Loan Mortgage Corporation (FHLMC): for debt securities: <http://www.freddiemac.com/debt>, for mortgage securities: <http://www.freddiemac.com/mbs>; Federal National Mortgage Association (FNMA): <http://www.fanniemae.com>; Government National Mortgage Association (GNMA): <http://www.ginniemae.gov>.

(15) If the security is a TBA (To Be Announced) status, further pool information is available by contacting the issuer as follows: 1-800-2FANNIE (1-800-232-6643) for Fannie Mae and 1-800-336-3672 for Freddie Mac and 1-800-234-4662 for Ginnie Mae or by emailing Fannie Mae at [bestmbs@fanniemae.com](mailto:bestmbs@fanniemae.com) or Freddie Mac at [Investor\\_Inquiry@FreddieMac.com](mailto:Investor_Inquiry@FreddieMac.com).

(16) To request a paper copy of an offering document, official statement, or final prospectus, call 888-835-8846.

(17) For asset-backed securities, which represent an interest in or are secured by a pool of receivables or other financial assets subject continuously to prepayment, the actual yield may vary according to the rate at which the underlying receivables or other financial assets are prepaid. Information concerning the factors that affect yield (including at a minimum estimated yield, weighted average life and the prepayment assumptions underlying yield) will be furnished upon request.

(18) If this transaction involves a zero-coupon security, no periodic interest payments will be made and, if the security is callable, it may be called below maturity value and if callable and available in bearer form it may be called without notice by mail to the holder, unless registered.

(19) In the case of a bond transaction that had a factor, the principal amount will reflect the modified amount resulting from the bond factor. Additional information will be furnished upon request. (0418-8P79)



Schwab One® Account of  
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**HUMANA RET AND SAVINGS PL**  
**FBO CHADWICK JONES**

Account Number  
**1764-9277**

## Trade Confirmation

**SOLD** China Online Educati A ADR (Symbol: COE)

Security No./CUSIP: 16954L-10-5

Type: Cash

Trade: 08/16/21

Settle: 08/18/21

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
12	2.45	29.40	N/A	29.40
200	2.45	490.00	N/A	490.00
2	2.45	4.90	N/A	4.90
10	2.45	24.50	N/A	24.50
100	2.45	245.00	N/A	245.00
200	2.44	488.00	N/A	488.00
150	2.44	366.00	N/A	366.00
1,990	2.44	4,855.60	Exchange Processing Fee 0.02	4,855.58
- Exch Process Fee: This fee offsets costs incurred by Schwab for the exchange of securities - including those relating to assessments on broker-dealers by an exchange or other SRO - for equity, option, or other covered security sell transactions and option security buy transactions.				
1	2.44	2.44	N/A	2.44
1	2.44	2.44	N/A	2.44
7,334	2.42	17,748.28	Exchange Processing Fee 0.09	17,748.19
- Exch Process Fee: This fee offsets costs incurred by Schwab for the exchange of securities - including those relating to assessments on broker-dealers by an exchange or other SRO - for equity, option, or other covered security sell transactions and option security buy transactions.				
<b>10,000</b>		<b>\$ 24,256.56</b>	<b>\$0.11</b>	<b>\$ 24,256.45</b>

**For this security:**

- The cost basis method requested was FIFO. Please view the Cost Basis Disclosure Statement for additional information on cost basis method choices and how Schwab reports adjusted cost basis information to the IRS.
- Schwab acted as your agent.

Internal Use Only: 2, E



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Account Number  
**1764-9277**

## Trade Confirmation

### **BOUGHT Exela Technologies I (Symbol: XELA)**

Security No./CUSIP: 30162V-40-9

Type: Cash

Trade: 08/16/21

Settle: 08/18/21

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
10,000	2.6396	26,396.00	N/A	26,396.00

**For this security:**

- Schwab acted as your agent.

Internal Use Only: 2, E

### **BOUGHT META MATLS INC (Symbol: MMAT)**

Security No./CUSIP: 59134N-10-4

Type: Cash

Trade: 08/16/21

Settle: 08/18/21

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
10,000	3.18	31,800.00	N/A	31,800.00

**For this security:**

- Schwab acted as your agent.

Internal Use Only: 2, E





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215 FREMONT ST FL 6  
SAN FRANCISCO CA 94105-2423

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08/05-00000-DC51A096 \*



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(16) To request a paper copy of an offering document, official statement, or final prospectus, call 888-835-8846.

(17) For asset-backed securities, which represent an interest in or are secured by a pool of receivables or other financial assets subject continuously to prepayment, the actual yield may vary according to the rate at which the underlying receivables or other financial assets are prepaid. Information concerning the factors that affect yield (including at a minimum estimated yield, weighted average life and the prepayment assumptions underlying yield) will be furnished upon request.

(18) If this transaction involves a zero-coupon security, no periodic interest payments will be made and, if the security is callable, it may be called below maturity value and if callable and available in bearer form it may be called without notice by mail to the holder, unless registered.

(19) In the case of a bond transaction that had a factor, the principal amount will reflect the modified amount resulting from the bond factor. Additional information will be furnished upon request. (0418-8P79)



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**FBO CHADWICK JONES**

Account Number  
**1764-9277**

## Trade Confirmation

### BOUGHT META MATLS INC (Symbol: MMAT)

Security No./CUSIP: 59134N-10-4

Type: Cash

Trade: 08/05/22

Settle: 08/09/22

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
11,500	0.9157	10,530.55	N/A	10,530.55

#### For this security:

- Schwab acted as your agent.

Internal Use Only: 2, E

### SOLD PETROS PHARMACEUTICA (Symbol: PTPI)

Security No./CUSIP: 71678J-10-0

Type: Cash

Trade: 08/05/22

Settle: 08/09/22

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
100	0.7852	78.52	N/A	78.52
2,500	0.7852	1,963.00	Exchange Processing Fee 0.04	1,962.96
- Exch Process Fee: This fee offsets costs incurred by Schwab for the exchange of securities - including those relating to assessments on broker-dealers by an exchange or other SRO - for equity, option, or other covered security sell transactions and option security buy transactions.				
302	0.7804	235.68	Exchange Processing Fee 0.01	235.67
- Exch Process Fee: This fee offsets costs incurred by Schwab for the exchange of securities - including those relating to assessments on broker-dealers by an exchange or other SRO - for equity, option, or other covered security sell transactions and option security buy transactions.				
100	0.7804	78.04	N/A	78.04
2,500	0.7804	1,951.00	Exchange Processing Fee 0.04	1,950.96
- Exch Process Fee: This fee offsets costs incurred by Schwab for the exchange of securities - including those relating to assessments on broker-dealers by an exchange or other SRO - for equity, option, or other covered security sell transactions and option security buy transactions.				
100	0.7804	78.04	N/A	78.04
100	0.7804	78.04	N/A	78.04
100	0.7804	78.04	N/A	78.04

Continued on Next Page



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# Trade Confirmation

## **SOLD** PETROS PHARMACEUTICA (Symbol: PTPI) *Continued*

Security No./CUSIP: 71678J-10-0

Type: Cash

Trade: 08/05/22

Settle: 08/09/22

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
100	0.7804	78.04	N/A	78.04
100	0.7804	78.04	N/A	78.04
100	0.7804	78.04	N/A	78.04
100	0.7804	78.04	N/A	78.04
1	0.7804	0.78	N/A	0.78
99	0.7804	77.26	N/A	77.26
99	0.7804	77.26	N/A	77.26
100	0.7804	78.04	N/A	78.04
1	0.7804	0.78	N/A	0.78
100	0.7804	78.04	N/A	78.04
100	0.7804	78.04	N/A	78.04
99	0.7804	77.26	N/A	77.26
100	0.7804	78.04	N/A	78.04
100	0.7804	78.04	N/A	78.04
1	0.7804	0.78	N/A	0.78
43	0.78	33.54	N/A	33.54
50	0.78	39.00	N/A	39.00
2,957	0.78	2,306.46	Exchange Processing Fee 0.05	2,306.41
- Exch Process Fee: This fee offsets costs incurred by Schwab for the exchange of securities - including those relating to assessments on broker-dealers by an exchange or other SRO - for equity, option, or other covered security sell transactions and option security buy transactions.				
79	0.77	60.83	N/A	60.83
120	0.77	92.40	N/A	92.40
1	0.77	0.77	N/A	0.77

*Continued on Next Page*



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**1764-9277**

## Trade Confirmation

**SOLD** PETROS PHARMACEUTICA (Symbol: PTPI) *Continued*

Security No./CUSIP: 71678J-10-0

Type: Cash

Trade: 08/05/22

Settle: 08/09/22

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
1,748	0.762	1,331.98	Exchange Processing Fee 0.03	1,331.95
- Exch Process Fee: This fee offsets costs incurred by Schwab for the exchange of securities - including those relating to assessments on broker-dealers by an exchange or other SRO - for equity, option, or other covered security sell transactions and option security buy transactions.				
<b>12,000</b>		<b>\$ 9,341.82</b>	<b>\$0.17</b>	<b>\$ 9,341.65</b>

**For this security:**

- The cost basis method requested was FIFO. Please view the Cost Basis Disclosure Statement for additional information on cost basis method choices and how Schwab reports adjusted cost basis information to the IRS.
- Schwab acted as your agent.

Internal Use Only: 2, E



Schwab One® Account of  
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**Trade Confirmation**

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**Your Retirement Plan Provider**

CHARLES SCHWAB TRUST BANK  
HUMANA RETIREMENT & SAVINGS PL  
ATTN PCRA ADMINISTRATOR  
215 FREMONT ST FL 6  
SAN FRANCISCO CA 94105-2423

*The custodian of your brokerage account is Charles Schwab & Co., Inc.*

CHARLES SCHWAB TRUST BANK TTEE  
HUMANA RET AND SAVINGS PL  
FBO CHADWICK JONES  
2668 BEACH BLVD UNIT 903A  
BILOXI MS 39531-4552

03/01-00000-DC94A096 \*



Schwab One® Account of  
**CHARLES SCHWAB TRUST BANK TTEE**  
**HUMANA RET AND SAVINGS PL**  
**FBO CHADWICK JONES**

Account Number  
**1764-9277**

## Trade Confirmation

If you have questions regarding this report, please contact your dedicated Schwab Personal Choice Retirement Account® (PCRA) Service Team at 1-888-393-7272.

### Terms and Conditions

*Retain for your records*

It is agreed between Charles Schwab & Co., Inc. ("Schwab") and the customer:

- (1) This trade confirmation is furnished solely by Charles Schwab & Co., Inc. ("Schwab"), a registered broker-dealer, for transactions in your brokerage account at Schwab ("Account"). Schwab Advisor Services serves independent investment advisors and includes the custody, trading and support services of Schwab. Schwab is a registered broker-dealer and is not affiliated with your Investment Advisor whose name appears on this statement ("Advisors") except in the case of Charles Schwab Investment Advisory, Inc. ("CSIA"), Schwab Private Client Investment Advisory, Inc. ("SPCIA"), or an affiliated company that may act as the investment advisor on a fund. Schwab neither endorses nor recommends any particular Advisor or its investment strategy and has no responsibility to monitor trading by Advisor in your Account. Schwab has not verified any statement accompanying any Advisor's logo appearing on this confirmation.
- (2) If you find any errors or omissions on this trade confirmation, please call Schwab immediately using any of the appropriate phone numbers listed above. To protect your rights, you must also notify us in writing. You should notify us immediately upon discovery of the error, but in any event no later than 10 days after you receive the confirmation. This confirmation will be deemed conclusive after 10 days. Schwab will not be liable for any losses that occur because of your failure to promptly notify us of an error.
- (3) All transactions are subject to the rules, regulations, requirements and customs of the Federal Reserve Board, the exchange or market (and its clearing agency, if any) where executed, any association whose rules and regulations govern transactions in said market, and your account agreement(s) with Schwab. We will furnish, upon written request, the date and time when this transaction took place and the name of the other party to the transaction. Federal regulations require that all brokerage firms report sales transactions to the IRS unless the order was placed through an IRA, corporate or trustee account.
- (4) Securities purchased by you or held by us may be hypothecated and/or commingled with securities carried for other customers.
- (5) Payment for all purchases or delivery of securities sold is due promptly and in any event no later than the settlement date. We reserve the right to liquidate securities or otherwise cover a position without notice at any time, including prior to settlement date, when in our judgment the value of the collateral is in jeopardy or the account poses a risk to the firm. You will be held responsible for any resulting loss.
- (6) Mutual Fund Transaction Fees shown on this confirmation, if applicable, are charged by Schwab. You can choose to buy the shares directly from the fund itself or its principal underwriter or distributor without paying Schwab's transaction fee. Please consult the prospectus for information about any other fees which a fund might charge.
- (7) If the symbol (\*) appears on the item description on your confirmation, the offering of the described security has been made solely by means of the prospectus relating thereto, a copy of which has been or will be delivered to you.
- (8) Call features, in addition to any identified on the face hereof, may exist for certain common stocks, preferred stocks and bonds that could affect yield. For additional information or questions, contact Schwab using any of the appropriate numbers listed above. Securities held for you at Schwab or at a central clearing facility, but not registered in your name, are commingled with identical securities held for other customers. In the event securities so held are called by the issuer, the securities to be called will be determined by an impartial and random selection system. Should your security be so selected,

- it will be presented for redemption and your account credited with the proceeds. Clients have the right to withdraw uncalled, fully paid securities at any time prior to a partial call, and also to withdraw excess margin securities not subject to restrictions.
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  - (12) Additional Load Fund Breakpoint Information: You may be eligible for breakpoint discounts based on the size of your purchase, current holdings or future purchases. The sales charge you paid may differ slightly from the prospectus disclosed rate due to rounding calculations. Please refer to the prospectus or Statement of Additional Information. If you need additional assistance, please contact your Schwab investment professional or financial advisor.
  - (13) Transaction Fee - includes fees charged for purchases and sales of certain mutual fund shares, and/or fees charged for certain fixed income securities transactions.
  - (14) To obtain electronic copies of notices, offering documents, and documents incorporated by reference pertaining to Government Sponsored Enterprises ("GSE") and Federal Agency securities, visit the following web sites: Federal Farm Credit Bank (FFCB): <http://www.farmcredit-fccb.com>; Federal Home Loan Bank (FHLB): <http://www.fhlb-of.com>; Federal Home Loan Mortgage Corporation (FHLMC): for debt securities: <http://www.freddiemac.com/debt>, for mortgage securities: <http://www.freddiemac.com/mbs>; Federal National Mortgage Association (FNMA): <http://www.fanniemae.com>; Government National Mortgage Association (GNMA): <http://www.ginniemae.gov>.
  - (15) If the security is a TBA (To Be Announced) status, further pool information is available by contacting the issuer as follows: 1-800-2FANNIE (1-800-232-6643) for Fannie Mae and 1-800-336-3672 for Freddie Mac and 1-800-234-4662 for Ginnie Mae or by emailing Fannie Mae at [bestmbs@fanniemae.com](mailto:bestmbs@fanniemae.com) or Freddie Mac at [Investor\\_Inquiry@FreddieMac.com](mailto:Investor_Inquiry@FreddieMac.com).
  - (16) To request a paper copy of an offering document, official statement, or final prospectus, call 888-835-8846.
  - (17) For asset-backed securities, which represent an interest in or are secured by a pool of receivables or other financial assets subject continuously to prepayment, the actual yield may vary according to the rate at which the underlying receivables or other financial assets are prepaid. Information concerning the factors that affect yield (including at a minimum estimated yield, weighted average life and the prepayment assumptions underlying yield) will be furnished upon request.
  - (18) If this transaction involves a zero-coupon security, no periodic interest payments will be made and, if the security is callable, it may be called below maturity value and if callable and available in bearer form it may be called without notice by mail to the holder, unless registered.
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**HUMANA RET AND SAVINGS PL**  
**FBO CHADWICK JONES**

Account Number  
**1764-9277**

## Trade Confirmation

### BOUGHT META MATLS INC (Symbol: MMAT)

Security No./CUSIP: 59134N-10-4

Type: Cash

Trade: 03/01/23

Settle: 03/03/23

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
20,200	0.613	12,384.42	N/A	12,384.42
300	0.6129	183.87	N/A	183.87
500	0.6129	306.45	N/A	306.45
500	0.6129	306.45	N/A	306.45
200	0.6129	122.60	N/A	122.60
200	0.6129	122.60	N/A	122.60
100	0.6129	61.30	N/A	61.30
100	0.6129	61.30	N/A	61.30
200	0.6129	122.60	N/A	122.60
100	0.6129	61.30	N/A	61.30
100	0.6127	61.27	N/A	61.27
<b>22,500</b>		<b>\$ 13,794.16</b>		<b>\$ 13,794.16</b>

For this security:  
 - Schwab acted as your agent.

Internal Use Only: 2, E







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BILOXI MS 39531-4558

03/23-00000-DC16A095 \*



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Schwab One® Account of  
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**HUMANA RET AND SAVINGS PL**  
**FBO CHADWICK JONES**

Account Number  
**1764-9277**

## Trade Confirmation

### **BOUGHT META MATLS INC (Symbol: MMAT)**

Security No./CUSIP: 59134N-10-4

Type: Cash

Trade: 03/23/23

Settle: 03/27/23

<i>Quantity</i>	<i>Price (\$)</i>	<i>Principal (\$)</i>	<i>Charges and/or Interest (\$)</i>	<i>Total Amount (\$)</i>
300	0.4819	144.57	N/A	144.57
12,600	0.4819	6,071.94	N/A	6,071.94
100	0.4815	48.15	N/A	48.15
<b>13,000</b>		<b>\$ 6,264.66</b>		<b>\$ 6,264.66</b>

**For this security:**

- Schwab acted as your agent.

Internal Use Only: 2, E



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2668 BEACH BLVD UNIT 302A  
BILOXI MS 39531-4558

04/14-00000-DC38A094 \*



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Account Number  
**1764-9277**

## Trade Confirmation

### **SOLD Amc Entertainment A** (Symbol: AMC)

Security No./CUSIP: 00165C-10-4

Type: Cash

Trade: 04/14/23

Settle: 04/18/23

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
5,000	5.1313	25,656.50	Exchange Processing Fee 0.94	25,655.56

#### For this security:

Internal Use Only: 2, E

- The cost basis method requested was FIFO. Please view the Cost Basis Disclosure Statement for additional information on cost basis method choices and how Schwab reports adjusted cost basis information to the IRS.
- Exch Process Fee: This fee offsets costs incurred by Schwab for the exchange of securities - including those relating to assessments on broker-dealers by an exchange or other SRO - for equity, option, or other covered security sell transactions and option security buy transactions.
- Schwab acted as your agent.

### **BOUGHT META MATLS INC** (Symbol: MMAT)

Security No./CUSIP: 59134N-10-4

Type: Cash

Trade: 04/14/23

Settle: 04/18/23

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
15,000	0.2272	3,409.37	N/A	3,409.37
100,000	0.2271	22,710.00	N/A	22,710.00
<b>115,000</b>		<b>\$ 26,119.37</b>		<b>\$ 26,119.37</b>

#### For this security:

Internal Use Only: 2, E

- The unit price shown is an average price. Details regarding actual prices on the individual transactions are available upon request. Schwab acted as your agent.





## Schwab One® Account of

CHARLES SCHWAB TRUST BANK TTEE  
HUMANA RET AND SAVINGS PL  
FBO CHADWICK JONES

Account Number  
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Trade Confirmation  
June 6, 2023

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**Manage Your Account**

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06/06-00000-DC91A091 \*





## Schwab One® Account of

CHARLES SCHWAB TRUST BANK TTEE  
HUMANA RET AND SAVINGS PL  
FBO CHADWICK JONES

Trade Confirmation

June 6, 2023

Total Trades	Total Purchases	Total Sales	Net Charges and/or Interest	Net Amount
1	(\$6,547.75)	\$0.00	\$0.00	(\$6,547.75)

Settle Date	Action	Symbol/ CUSIP	Description	Quantity	Price(\$)	Principal(\$)	Charges/ Interest(\$)	Amount(\$)	Disclosure
06/08	Purchase	MMAT 59134N104	META MATLS INC	27,500	0.2381	6,547.75		6,547.75	F2, G1

## Disclosures

G1 The unit price shown is an average price. Details regarding actual prices on the individual transactions are available upon request. Schwab acted as your agent.

F2 Payment Type: Cash.



## Schwab One® Account of

CHARLES SCHWAB TRUST BANK TTEE  
HUMANA RET AND SAVINGS PL  
FBO CHADWICK JONES

Account Number  
1764-9277

Trade Confirmation  
June 20, 2023

## Terms &amp; Conditions

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BILOXI MS 39531-4558

06/20-00000-DC05A091 \*



## Schwab One® Account of

CHARLES SCHWAB TRUST BANK TTEE  
HUMANA RET AND SAVINGS PL  
FBO CHADWICK JONES

Trade Confirmation

June 20, 2023

Total Trades	Total Purchases	Total Sales	Net Charges and/or Interest	Net Amount
1	(\$486.72)	\$0.00	\$0.00	(\$486.72)

Settle Date	Action	Symbol/ CUSIP	Description	Quantity	Price(\$)	Principal(\$)	Charges/ Interest(\$)	Amount(\$)	Disclosure
06/22	Purchase	MMAT 59134N104	META MATLS INC	2,400	0.2028	486.72		486.72	F2, G1

## Disclosures

G1 The unit price shown is an average price. Details regarding actual prices on the individual transactions are available upon request. Schwab acted as your agent.

F2 Payment Type: Cash.



## Schwab One® Account of

CHARLES SCHWAB TRUST BANK TTEE  
HUMANA RET AND SAVINGS PL  
FBO CHADWICK JONES

Account Number  
1764-9277

Trade Confirmation  
July 13, 2023

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07/13-00000-DC28A091 \*



## Schwab One® Account of

CHARLES SCHWAB TRUST BANK TTEE  
HUMANA RET AND SAVINGS PL  
FBO CHADWICK JONES

Trade Confirmation

July 13, 2023

Total Trades	Total Purchases	Total Sales	Net Charges and/or Interest	Net Amount
1	(\$2,963.31)	\$0.00	\$0.00	(\$2,963.31)

Settle Date	Action	Symbol/ CUSIP	Description	Quantity	Price(\$)	Principal(\$)	Charges/ Interest(\$)	Amount(\$)	Disclosure
07/17	Purchase	MMAT 59134N104	META MATLS INC	15,450	0.1918	2,963.31		2,963.31	F2, A1

## Disclosures

A1 Schwab acted as your agent.

F2 Payment Type: Cash.



## Schwab One® Account of

CHARLES SCHWAB TRUST BANK TTEE  
HUMANA RET AND SAVINGS PL  
FBO CHADWICK JONES

Account Number  
1764-9277

Trade Confirmation  
August 30, 2023

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08/30-00000-DC76A091 \*



## Schwab One® Account of

CHARLES SCHWAB TRUST BANK TTEE  
HUMANA RET AND SAVINGS PL  
FBO CHADWICK JONES

Account Number  
1764-9277

Trade Confirmation  
August 30, 2023

Total Trades	Total Purchases	Total Sales	Net Charges and/or Interest	Net Amount
1	(\$5,156.35)	\$0.00	\$0.00	(\$5,156.35)

Settle Date	Action	Symbol/ CUSIP	Description	Quantity	Price(\$)	Principal(\$)	Charges/ Interest(\$)	Amount(\$)	Disclosure
09/01	Purchase	MMAT 59134N104	META MATLS INC	22,380	0.2304	5,156.35		5,156.35	F2, G1

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F2 Payment Type: Cash.





## Schwab One® Account of

CHARLES SCHWAB TRUST BANK TTEE  
HUMANA RET AND SAVINGS PL  
FBO CHADWICK JONES

Account Number  
1764-9277

Trade Confirmation  
October 2, 2023

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Federal regulations require that all brokerage firms report sales transactions to the IRS unless the order was placed through an IRA, corporate or trustee account. (4) Securities purchased by you or held by us may be hypothecated and/or commingled with securities carried for other customers. (5) Payment for all purchases or delivery of securities sold is due promptly and in any event no later than the settlement date. We reserve the right to liquidate securities or otherwise cover a position without notice at any time, including prior to settlement date, when in our judgment the value of the collateral is in jeopardy or the account poses a risk to the firm. You will be held responsible for any resulting loss. (6) Mutual Fund Transaction Fees shown on this confirmation, if applicable, are charged by Schwab. You can choose to buy the shares directly from the fund itself or its principal underwriter or distributor without paying Schwab's transaction fee. Please consult the prospectus for information about any other fees which a fund might charge. (7) Call features, in addition to any identified on the face hereof, may exist for certain common stocks, preferred stocks and bonds that could affect yield. For additional information or questions, contact Schwab using any of the appropriate numbers listed above. Securities held for you at Schwab or at a central clearing facility, but not registered in your name, are commingled with identical securities held for other customers. In the event securities so held are called by the issuer, the securities to be called will be determined by an impartial and random selection system. Should your security be so selected, it will be presented for redemption and your account credited with the proceeds. Clients have the right to withdraw uncalled, fully paid securities at any time prior to a partial call, and also to withdraw excess margin securities not subject to restrictions. (8) Schwab received remuneration from the broker-dealer or market center through which the transaction was executed. The nature and source of such remuneration may vary and will be disclosed to you upon written request. (9) If the security described on the front of this confirmation was issued by The Charles Schwab Corporation ("CSC") or an entity controlled by CSC, we are required to disclose that (a) Schwab is controlled by CSC or (b) Schwab and the issuer are under the common control of CSC. (10) Additional Load Fund Breakpoint Information: You may be eligible for breakpoint discounts based on the size of your purchase, current holdings or future purchases. The sales charge you paid may differ slightly from the prospectus disclosed rate due to rounding calculations. Please refer to the prospectus or Statement of Additional Information. If you need additional assistance, please contact your Schwab investment professional or financial advisor. (11) Transaction Fee - includes fees charged for purchases and sales of certain mutual fund shares, and/or fees charged for certain fixed income securities transactions. (12) To obtain electronic copies of notices, offering documents, and documents incorporated by reference pertaining to Government Sponsored Enterprises ("GSE") and Federal Agency securities, visit the following web sites: Federal Farm Credit Bank (FFCB): <http://www.farmcredit-ffcb.com>; Federal Home Loan Bank (FHLB): <http://www.fhlb-of.com>; Federal Home Loan Mortgage Corporation (FHLMC): For debt securities: <http://www.freddiemac.com/debt>, for mortgage securities: <http://www.freddiemac.com/mbs>; Federal National Mortgage Association (FNMA): <http://www.fanniemae.com>; Government National Mortgage Association (GNMA): <http://www.ginniemae.gov>. (13) To request a paper copy of an offering document, official statement, or final prospectus, call 888-835-8846. (14) For asset-backed securities, which represent an interest in or are secured by a pool of receivables or other financial assets subject continuously to prepayment, the actual yield may vary according to the rate at which the underlying receivables or other financial assets are prepaid. Information concerning the factors that affect yield (including at a minimum estimated yield, weighted average life and the prepayment assumptions underlying yield) will be furnished upon request. (15) If this transaction involves a zero-coupon security, no periodic interest payments will be made and, if the security is callable, it may be called below maturity value and if callable and available in bearer form it may be called without notice by mail to the holder, unless registered. (0822-20UL)

## Your Retirement Plan Provider

CHARLES SCHWAB TRUST BANK  
HUMANA RETIREMENT & SAVINGS PL  
ATTN PCRA ADMINISTRATOR  
215 FREMONT ST FL 6  
SAN FRANCISCO CA 94105-2423

The custodian of your brokerage account is  
Charles Schwab & Co., Inc.

## Manage Your Account

If you have questions regarding this report,  
please contact your dedicated Schwab Personal  
Choice Retirement Account® (PCRA) Service  
Team at 1-888-393-7272.

CHARLES SCHWAB TRUST BANK TTEE  
HUMANA RET AND SAVINGS PL  
FBO CHADWICK JONES  
2668 BEACH BLVD UNIT 302A  
BILOXI MS 39531-4558

10/02-00000-DC09A091 \*





## Schwab One® Account of

CHARLES SCHWAB TRUST BANK TTEE  
HUMANA RET AND SAVINGS PL  
FBO CHADWICK JONES

Account Number  
1764-9277

Trade Confirmation  
October 2, 2023

Total Trades	Total Purchases	Total Sales	Net Charges and/or Interest	Net Amount
1	(\$3,488.00)	\$0.00	\$0.00	(\$3,488.00)

Settle Date	Action	Symbol/ CUSIP	Description	Quantity	Price(\$)	Principal(\$)	Charges/ Interest(\$)	Amount(\$)	Disclosure
10/04	Purchase	MMAT 59134N104	META MATLS INC	16,000	0.218	3,488.00		3,488.00	F2, G1

## Disclosures

G1 The unit price shown is an average price. Details regarding actual prices on the individual transactions are available upon request. Schwab acted as your agent.

F2 Payment Type: Cash.



## Schwab One® Account of

CHARLES SCHWAB TRUST BANK TTEE  
HUMANA RET AND SAVINGS PL  
FBO CHADWICK JONES

Account Number  
1764-9277

Trade Confirmation  
October 16, 2023

## Terms &amp; Conditions

It is agreed between Charles Schwab & Co., Inc. ("Schwab") and the customer: (1) This trade confirmation is furnished solely by Charles Schwab & Co., Inc. ("Schwab"), a registered broker-dealer, for transactions in your brokerage account at Schwab ("Account"). Schwab Advisor Services serves independent investment advisors and includes the custody, trading and support services of Schwab. Schwab is a registered broker-dealer and is not affiliated with your investment Advisor whose name appears on this statement ("Advisors") except in the case of Charles Schwab Investment Advisory, Inc. ("CSIA"), Schwab Wealth Advisory, Inc. ("SWAI"), or an affiliated company that may act as the investment advisor on a fund. Schwab neither endorses nor recommends any particular Advisor or its investment strategy and has no responsibility to monitor trading by Advisor in your Account. Schwab has not verified any statement accompanying any Advisor's logo appearing on this confirmation. 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215 FREMONT ST FL 6  
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2668 BEACH BLVD UNIT 302A  
BILOXI MS 39531-4558

10/16-00000-DC23A091 \*



## Schwab One® Account of

CHARLES SCHWAB TRUST BANK TTEE  
HUMANA RET AND SAVINGS PL  
FBO CHADWICK JONES

Account Number  
1764-9277

Trade Confirmation  
October 16, 2023

Total Trades	Total Purchases	Total Sales	Net Charges and/or Interest	Net Amount
1	(\$2,427.57)	\$0.00	\$0.00	(\$2,427.57)

Settle Date	Action	Symbol/ CUSIP	Description	Quantity	Price(\$)	Principal(\$)	Charges/ Interest(\$)	Amount(\$)	Disclosure
10/18	Purchase	MMAT 59134N104	META MATLS INC	11,100	0.2187	2,427.57		2,427.57	F2, A1

## Disclosures

A1 Schwab acted as your agent.

F2 Payment Type: Cash.



Schwab One® Account of  
**CHARLES SCHWAB TRUST BANK TTEE**  
**HUMANA RET AND SAVINGS PL**  
**FBO CHADWICK JONES**

Account Number  
**1764-9277**

**Trade Confirmation**

### **Your Retirement Plan Provider**

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CHARLES SCHWAB TRUST BANK  
HUMANA RETIREMENT & SAVINGS PL  
ATTN PCRA ADMINISTRATOR  
215 FREMONT ST FL 6  
SAN FRANCISCO CA 94105-2423

*The custodian of your brokerage account is Charles Schwab & Co., Inc.*

CHARLES SCHWAB TRUST BANK TTEE  
HUMANA RET AND SAVINGS PL  
FBO CHADWICK JONES  
2668 BEACH BLVD UNIT 903A  
BILOXI MS 39531-4552

08/26-00000-DC72A096 \*



Schwab One® Account of  
**CHARLES SCHWAB TRUST BANK TTEE**  
**HUMANA RET AND SAVINGS PL**  
**FBO CHADWICK JONES**

Account Number  
**1764-9277**

## Trade Confirmation

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### Terms and Conditions

*Retain for your records*

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(2) If you find any errors or omissions on this trade confirmation, please call Schwab immediately using any of the appropriate phone numbers listed above. To protect your rights, you must also notify us in writing. You should notify us immediately upon discovery of the error, but in any event no later than 10 days after you receive the confirmation. This confirmation will be deemed conclusive after 10 days. Schwab will not be liable for any losses that occur because of your failure to promptly notify us of an error.

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(7) If the symbol (\*) appears on the item description on your confirmation, the offering of the described security has been made solely by means of the prospectus relating thereto, a copy of which has been or will be delivered to you.

(8) Call features, in addition to any identified on the face hereof, may exist for certain common stocks, preferred stocks and bonds that could affect yield. For additional information or questions, contact Schwab using any of the appropriate numbers listed above. Securities held for you at Schwab or at a central clearing facility, but not registered in your name, are commingled with identical securities held for other customers. In the event securities so held are called by the issuer, the securities to be called will be determined by an impartial and random selection system. Should your security be so selected,

it will be presented for redemption and your account credited with the proceeds. Clients have the right to withdraw uncalled, fully paid securities at any time prior to a partial call, and also to withdraw excess margin securities not subject to restrictions.

(9) Any credit ratings shown on this confirmation are as of the time the confirmation was generated and may differ from any credit ratings provided at the time this transaction took place. The credit ratings for this security could have changed between the time of your transaction and the time this confirmation was generated. For additional information or questions, contact Schwab using any of the appropriate numbers listed above.

(10) Schwab received remuneration from the broker-dealer or market center through which the transaction was executed. The nature and source of such remuneration may vary and will be disclosed to you upon written request.

(11) If the security described on the front of this confirmation was issued by The Charles Schwab Corporation ("CSC") or an entity controlled by CSC, we are required to disclose that (a) Schwab is controlled by CSC or (b) Schwab and the issuer are under the common control of CSC.

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(13) Transaction Fee - includes fees charged for purchases and sales of certain mutual fund shares, and/or fees charged for certain fixed income securities transactions.

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(15) If the security is a TBA (To Be Announced) status, further pool information is available by contacting the issuer as follows: 1-800-2FANNIE (1-800-232-6643) for Fannie Mae and 1-800-336-3672 for Freddie Mac and 1-800-234-4662 for Ginnie Mae or by emailing Fannie Mae at [bestmbs@fanniemae.com](mailto:bestmbs@fanniemae.com) or Freddie Mac at [Investor\\_Inquiry@FreddieMac.com](mailto:Investor_Inquiry@FreddieMac.com).

(16) To request a paper copy of an offering document, official statement, or final prospectus, call 888-835-8846.

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(18) If this transaction involves a zero-coupon security, no periodic interest payments will be made and, if the security is callable, it may be called below maturity value and if callable and available in bearer form it may be called without notice by mail to the holder, unless registered.

(19) In the case of a bond transaction that had a factor, the principal amount will reflect the modified amount resulting from the bond factor. Additional information will be furnished upon request. (0418-8P79)



Schwab One® Account of  
**CHARLES SCHWAB TRUST BANK TTEE**  
**HUMANA RET AND SAVINGS PL**  
**FBO CHADWICK JONES**

Account Number  
**1764-9277**

## Trade Confirmation

**SOLD META MATLS INC (Symbol: MMAT)**

Security No./CUSIP: 59134N-10-4

Type: Cash

Trade: 08/26/21

Settle: 08/30/21

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
1	3.65	3.65	N/A	3.65
500	3.65	1,825.00	Exchange Processing Fee 0.01	1,824.99
- Exch Process Fee: This fee offsets costs incurred by Schwab for the exchange of securities - including those relating to assessments on broker-dealers by an exchange or other SRO - for equity, option, or other covered security sell transactions and option security buy transactions.				
10	3.65	36.50	N/A	36.50
55	3.65	200.75	N/A	200.75
5	3.65	18.25	N/A	18.25
6	3.65	21.90	N/A	21.90
9,423	3.64	34,299.72	Exchange Processing Fee 0.17	34,299.55
- Exch Process Fee: This fee offsets costs incurred by Schwab for the exchange of securities - including those relating to assessments on broker-dealers by an exchange or other SRO - for equity, option, or other covered security sell transactions and option security buy transactions.				
<b>10,000</b>		<b>\$ 36,405.77</b>	<b>\$0.18</b>	<b>\$ 36,405.59</b>

**For this security:**

- The cost basis method requested was FIFO. Please view the Cost Basis Disclosure Statement for additional information on cost basis method choices and how Schwab reports adjusted cost basis information to the IRS.
- Schwab acted as your agent.

Internal Use Only: 2, E